



NORTHEAST EQUITY RESEARCH

Table of Fees for Services

Carefully read Item 4 and Item 5 of Form ADV Part 2A (“Brochure”), as these sections of the Brochure contain important details about Northeast Equity Research advisory services and fees. Fees are negotiable. The fees below will only apply to you when you request the services listed.

Fees Charged by Investment Adviser	Fee Amount	Frequency Fee is Charged	Services
Assets Under Management Fee	Over \$1,000,000 0.5% \$100,000 to \$1,000,000 1% Under 100,000 2%	Monthly or Quarterly in advance	Financial planning services and Portfolio management for individuals or small businesses
Hourly Fee	0	N/A	N/A
Subscription Fee	0	N/A	N/A
Fixed Fee	0	N/A	N/A
Commissions to the Adviser	Insurance-75% of first year premium	Annually	Term life and Whole life
Performance-based Fee	0	N/A	N/A
Other	0	N/A	N/A
Fees Charged by Third Parties	Fee Amount	Frequency Fee is Charged	Services
Third Party Money Manager	0	N/A	N/A
Robo-Adviser Fee	0	N/A	N/A
Talk with your Adviser about fees and costs applicable to you			

Additional fees and costs to discuss with your Adviser

Additional Fees/Cost	Yes/No	Paid To
Brokerage Fees	No	N/A
Commissions	Yes	Custodian
Custodian Fees	No	N/A
Mark-ups	No	N/A
Mutual Fund/ETF Fees and Expenses	Yes	Custodian

Effective 8/12/2024